

ADMIRAL INSURANCE COMPANY  
6455 E. Johns Crossing, Suite 240  
Duluth, GA 30097  
Phone: 770-476-1561 Fax: 770-418-9597  
http://www.admiralins.com

APPLICATION FOR MISCELLANEOUS MEDICAL  
PROFESSIONAL LIABILITY INSURANCE  
(CLAIMS MADE)

1. Full Name of Applicant: \_\_\_\_\_

(Include all dba's and subsidiaries seeking coverage under the policy for which you are applying.)

2. Mailing and Location Address: \_\_\_\_\_

(If multiple addresses include an attachment with a complete schedule of all locations)

3. Website Address (if applicable): \_\_\_\_\_

4. Date Established: \_\_\_\_\_

5. Type of Entity: \_\_\_ Corp \_\_\_ Partnership \_\_\_ Individual \_\_\_ Other: \_\_\_\_\_

6. Is this entity owned by, associated with or controlled by any other entity? \_\_\_ Yes \_\_\_ No If Yes, please give details.  
\_\_\_\_\_

7. PROFESSIONAL ACTIVITIES AND SPECIALTY: Check All that Apply

- \_\_\_ Ambulance Service \_\_\_ Ground \_\_\_ Air
- \_\_\_ Day Spa/Medical Spa
- \_\_\_ Dental Practice
- \_\_\_ Drug and Alcohol Treatment
- \_\_\_ Group Home (Elderly)
- \_\_\_ Group Home (Non-Elderly)
- \_\_\_ Home Healthcare Agency
- \_\_\_ Independent Living (Elderly)
- \_\_\_ Independent Living (Non-Elderly)
- \_\_\_ Kidney Dialysis Center
- \_\_\_ Laser Vision Correction Center
- \_\_\_ Medical Clinic
- \_\_\_ Methadone Clinic

- \_\_\_ Medical Staffing
- \_\_\_ Mental Health Services
- \_\_\_ Nurses Registry
- \_\_\_ Pharmacy
- \_\_\_ Radiology (Telerradiology Y or N circle)
- \_\_\_ Residential Care Facility
- \_\_\_ Services to Nursing Homes/Assisted Living
- \_\_\_ Social Services
- \_\_\_ Surgery Center
- \_\_\_ Other (Please provide details): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Full Description of Services Rendered: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

8. State the approximate division of applicants patients:

- \_\_\_ % Alcoholics
- \_\_\_ % Cosmetic or Elective
- \_\_\_ % Counseling/Family Planning
- \_\_\_ % Communicable
- \_\_\_ % Dental
- \_\_\_ % Dialysis
- \_\_\_ % Drug Addicts
- \_\_\_ % Holistic or Alternative Medicine
- \_\_\_ % Medical

- \_\_\_ % Mentally Retarded
- \_\_\_ % Obstetrical
- \_\_\_ % Pediatric
- \_\_\_ % Psychiatric
- \_\_\_ % Research or Experimental
- \_\_\_ % Senile or Elderly
- \_\_\_ % Surgical
- \_\_\_ % Other (Please provide details): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

9. Please provide the number of employees or independent contractors and whether or not they carry their own individual medical malpractice coverage for their services on behalf of this entity:

|                              | <u>Employee</u> | <u>Independent Contractor</u> | <u>Insured On Own Med Mal Policy</u> |        | <u>Limits Required</u> |
|------------------------------|-----------------|-------------------------------|--------------------------------------|--------|------------------------|
| Physicians (no surgery)      | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Physicians (surgical)        | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Physician Assistants         | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Surgical Technicians         | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Certified Nurse Anesthetists | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Nurse Practitioners          | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Registered Nurses            | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| LPN's or Nurse Aides         | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| X-Ray Technicians            | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Medical Assistants           | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Optometrists                 | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Electrologist                | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Opticians                    | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Pharmacists                  | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Pharmacy Technicians         | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Chiropractors                | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Massage Therapists           | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Laboratory Technicians       | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Paramedics                   | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| EMT's                        | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Social Workers               | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Aestheticians                | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Perfusionists                | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Therapists/Counselors        | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Administrators               | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Psychologists                | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Accupuncturists              | _____           | _____                         | Yes ___                              | No ___ | _____                  |
| Other: _____                 | _____           | _____                         | Yes ___                              | No ___ | _____                  |

\*Please attach copies of declarations pages on all individuals that carry their own medical malpractice.

\*If you have a Medical Director, provide name, specialty and C.V.:

- a) Are Medical Director's duties administrative only? Yes \_\_\_ No \_\_\_
- b) Does Medical Director provide direct patient care? Yes \_\_\_ No \_\_\_
- c) What medical malpractice limits is Medical Director required to carry? \_\_\_\_\_

10. Are all of the above individuals licensed in accordance with applicable state and federal regulations?  
 \_\_\_ Yes \_\_\_ No If No, please attach a detailed explanation.

11. Has the applicant or any of the above employees and/or independent contractors: YES NO  
 If Yes, please attach a detailed explanation.

- (a) Ever been the subject of disciplinary or investigative proceedings or been reprimanded by a governmental or administrative agency, hospital or professional association? \_\_\_\_\_
- (b) Ever been convicted for an act committed in violation of any law or ordinance other than traffic offenses? \_\_\_\_\_
- (c) Ever been treated for alcoholism or drug addiction? \_\_\_\_\_
- (d) Ever had any state professional license or license to prescribe or dispense narcotics refused, suspended, revoked, renewal refused or accepted only on special terms or ever voluntarily surrendered same? \_\_\_\_\_

12. Check the hiring procedures that apply or are performed by this operation:

- \_\_\_ Criminal Background Checks
- \_\_\_ Reference Checks
- \_\_\_ Questioning of employees in their previous involvement as defenders in professional malpractice litigation
- \_\_\_ Verification of certification or professional licensing
- \_\_\_ Drug, alcohol and sexual abuse screening or testing

| 13. Does the applicant perform any of the following non-surgical procedures or treatment?                             | YES   | NO    | Est. Annual Procedures |
|---|-------|-------|------------------------|
| (a) Acid or chemical peels? (Specify solution strength)   | _____ | _____ | _____                  |
| (b) Acupuncture?  | _____ | _____ | _____                  |
| © Angiography, arteriography or venography?   | _____ | _____ | _____                  |
| (d) Botox Injections (Advise who performs)  | _____ | _____ | _____                  |
| (e) Catheterization (other than urinary or umbilical?)  | _____ | _____ | _____                  |
| (f) Closed reduction of compound fractures?   | _____ | _____ | _____                  |
| (g) Dermal Filler Injections (Advise type, who performs)  | _____ | _____ | _____                  |
| (h) Electrolysis (Advise who performs)  | _____ | _____ | _____                  |
| (i) Laser Treatments (non-surgical)? If Yes, which of the following:  | _____ | _____ | _____                  |
| _____ Hair Removal  |       |       |                        |
| _____ Skin Resurfacing  |       |       |                        |
| _____ Tattoo Removal  |       |       |                        |
| Other: _____  |       |       |                        |
| (j) Mesotherapy (Advise who performs)   | _____ | _____ | _____                  |
| (k) Microdermabrasion? (Advise who performs)  | _____ | _____ | _____                  |
| (l) Pain management (non-surgical)?   | _____ | _____ | _____                  |
| (m) Permanent Makeup Application? (Advise who performs)   | _____ | _____ | _____                  |
| (n) Psychiatric shock therapy?  | _____ | _____ | _____                  |
| (o) Radiation Therapy and/or Chemotherapy?  | _____ | _____ | _____                  |
| (p) Sclerotherapy? (Advise who performs)  | _____ | _____ | _____                  |
| (q) Lipo-Dissolve, Lipostabil, Lipolysis or LipoShape (Advise who performs)   | _____ | _____ | _____                  |
| <b>NOTE: THESE PROCEDURES WILL NOT BE COVERED UNLESS PERFORMED BY A TRAINED PHYSICIAN OR PHYSICIAN'S ASSISTANT. )</b> |       |       |                        |

| 14. Does the applicant perform any of the following surgical procedures?        | YES   | NO    | Est. Annual Procedures |
|---|-------|-------|------------------------|
| (a) Abortions? If Yes, please answer the following:                             | _____ | _____ | _____                  |
| What is the maximum trimester? _____  |       |       |                        |
| What methods? _____   |       |       |                        |
| How many per month? _____   |       |       |                        |
| (b) Biopsies and/or endoscopies? If Yes, list types performed. _____            | _____ | _____ | _____                  |
| _____   |       |       |                        |
| (c) Circumcisions?  | _____ | _____ | _____                  |
| (d) Cosmetic Plastic Surgery? If Yes, what percentage of practice? _____ %      | _____ | _____ | _____                  |
| (e) Cryosurgery?  | _____ | _____ | _____                  |
| (f) Deliveries? (If Yes, C-Sections? _____ Yes _____ No)                        | _____ | _____ | _____                  |
| (g) Dilation and curettage?   | _____ | _____ | _____                  |
| (h) Gastric bypass surgery or other stomach banding procedures for weight loss? | _____ | _____ | _____                  |
| (i) Hysterectomies?   | _____ | _____ | _____                  |
| (j) Minor surgical procedures only?   | _____ | _____ | _____                  |
| (k) Major surgical procedures?  | _____ | _____ | _____                  |
| (l) Mastectomies or lumpectomies?   | _____ | _____ | _____                  |
| (m) Neurosurgery?   | _____ | _____ | _____                  |
| (n) Organ transplant surgery?   | _____ | _____ | _____                  |
| (o) Orthopedic surgery other than spinal?                                       | _____ | _____ | _____                  |
| (p) Penile lengthening or enhancement surgery?                                  | _____ | _____ | _____                  |
| (q) Sex change operations or sexual reassignment surgery?                       | _____ | _____ | _____                  |
| (r) Spinal surgery?   | _____ | _____ | _____                  |
| (s) Surgical podiatry?  | _____ | _____ | _____                  |
| (t) Vasectomies?  | _____ | _____ | _____                  |

\*Please attach a complete list of all surgical procedures performed at this facility.

|  |
|--|
| 15. Does the applicant administer methadone treatment? _____ Yes _____ No If Yes, how many slots? _____                  |
| 16. Does the applicant administer detoxification treatment? _____ Yes _____ No (How many patients annually? _____)       |
| Do you offer rapid detoxification under anesthesia? _____ Yes _____ No (How many patient annually? _____)                |
| 17. Does the applicant maintain any beds for overnight occupancy? _____ Yes _____ No                                     |
| If Yes, what is the total number of beds? _____  |
| 18. Does the applicant provide services to Nursing Homes or Assisted Living Centers? _____ Yes _____ No                  |
| If Yes, please provide description of the services, and the percentage (%) of total revenue derived from these services: |
| 19. Is anesthesia (other than topical or by means of local infiltration) administered at the applicant's facility?       |
| _____ Yes _____ No If Yes, how many procedures per year require general anesthesia? _____                                |

20. If the applicant has or is a training school, please provide the following: (attach separate sheet if more room needed)

| <u>Profession for which students are being trained</u> | <u>Max # of students per session</u> | <u># of sessions per year</u> | <u>% of time in clinical setting</u> | <u># of faculty</u> | <u>Qualification of Faculty (MD, RN, PHD)</u> |
|--|--------------------------------------|-------------------------------|--------------------------------------|---------------------|---|
| _____  | _____                                | _____                         | _____ %                              | _____               | _____   |
| _____  | _____                                | _____                         | _____ %                              | _____               | _____   |

21. State sources and amounts of total revenue:

|                                    | <u>Last 12 months</u> | <u>Estimate for next 12 months</u> |
|------------------------------------|-----------------------|------------------------------------|
| Charitable Contributions           | \$ _____              | \$ _____                           |
| Government Funding                 | \$ _____              | \$ _____                           |
| Fee for service                    | \$ _____              | \$ _____                           |
| Sales or Lease of Medical Products | \$ _____              | \$ _____                           |
| Other: _____                       | \$ _____              | \$ _____                           |
| <b>Total Gross Revenues:</b>       | <b>\$ _____</b>       | <b>\$ _____</b>                    |

22. Please provide the number of annual patient encounters or client visits:

|   | <u>Last 12 months</u> | <u>Estimate for next 12 months</u> |
|---|-----------------------|------------------------------------|
| Outpatient Visits                           | _____                 | _____                              |
| Surgical Procedures (not included in above) | _____                 | _____                              |
| Perfusion, Autotransfusion – Case Load      | _____                 | _____                              |
| Pharmacy – Number of Prescriptions          | _____                 | _____                              |
| If any Compounding, advise %                | _____ %               | _____ %                            |
| Other: _____                                | _____                 | _____                              |

23. Please provide the following information as respects the last five years of professional liability coverage beginning with the most current coverage:

| <u>Carrier</u> | <u>Limit</u> | <u>Deductible</u> | <u>Premium</u> | <u>Policy Term</u> | <u>Retroactive Date</u> |
|----------------|--------------|-------------------|----------------|--------------------|-------------------------|
| _____          | _____        | _____             | _____          | _____              | _____                   |
| _____          | _____        | _____             | _____          | _____              | _____                   |
| _____          | _____        | _____             | _____          | _____              | _____                   |
| _____          | _____        | _____             | _____          | _____              | _____                   |
| _____          | _____        | _____             | _____          | _____              | _____                   |

24. Is the applicant currently insured under a Commercial General Liability policy?  Yes  No If Yes, please attach a copy of the declarations page.

25. Does the applicant own, operate or manage any business other than the one(s) described in this application for which you are applying for coverage?  Yes  No If Yes, please provide complete details, including name of entity, your ownership interest or contractual relationship and information on their insurance program.

26. Has any application for professional liability insurance made on behalf of the applicant, any predecessors in business or present partners ever been declined, cancelled or non-renewed?  Yes  No If Yes, please provide details including name of carrier and dates \_\_\_\_\_

27. Has any claim ever been made against the applicant or any of its employees? Yes  No   
If Yes, how many? \_\_\_\_\_ Please complete the Supplemental Claim Information Form at the end of this application for each and every claim.

28. Is the applicant aware of any circumstances which may result in any claim against them or their employees?  Yes  No If Yes, please provide full details on each incident including name of parties involved, date of treatment and current status of incident. \_\_\_\_\_

**The applicant declares that the above statements and representations are true and correct and that no facts have been suppressed or misstated. The completion of this application does not bind the Company to sell nor the applicant to purchase this insurance, but any subsequent contract issued will be in full reliance upon the statement and representations made in this application and this application will be made a part of the policy. The applicant understands that any subsequent contract issued by the Company will be issued on a claims made form.**

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**Signature of Applicant or Authorized Representative**

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**Date**

**Please attach the following documents to this application:**

- **Resumes or CV's on principals and partners**
- **Copies of brochures, marketing or advertising materials**
- **Five years of currently valued company loss runs**
- **Information on disciplinary actions, license revocations, etc.**
- **Copy of most current declarations page**

